Derbyshire County Council: Adult Social Care Winter Plan 2020-21

Theme 1: Preventing and controlling the spread of infection in care settings

Actions Required by Local Authorities	What is happening in Derbyshire
Continue to implement relevant guidance and circulate and promote guidance to adult social care providers in their area, including for visitors	 Guidance is provided by Public Health and Health & Safety colleagues and uploaded onto the Council's website. Robust risk assessments are in place across DCC Residential Homes and Community Care Centres. PVI and Direct Care providers updated on changes Continuing to monitor local infection rates and review guidance in areas of intervention (in line with national policy).
Directors of Public Health should work with relevant partners including Public Health England and local health protection boards to control local outbreaks and should refer to the contain framework	 COVID health protection board meet weekly with daily operational and senior management groups to support the review and management of outbreaks. Systems in place to share intelligence between adult social care commissioners, whole home testing, test and trace, community infection prevention control (IPC) and Public Health England.
Support care homes, working with local partners to carry out learning reviews after each outbreak to identify and share any lessons learned at local, regional and national levels	 Implementation of the Outbreak Plan monitored via the Test & Trace Cell and co-ordinated through care home group and the strategic care home group. Support provided by community IPC team. Outbreak Control Teams established as required. Lessons learnt shared and reviewed via COVID care homes cell.

Actions Required by Local Authorities	What is happening in Derbyshire
Managing staff movement	
Distribute money from the Infection Control Fund, and submit returns on how the funding has been used in line with the grant conditions	 Infection Control Fund round one - tranches one and two of funding received and distributed to care providers during summer 2020. Round two funding received, and guidance sent to providers on accessing funding.
Consult the guidance available on redeploying staff and managing their movement, and support providers in their area to access other initiatives – for example Bringing Back Staff	 We have developed a Growing and Maintaining the Workforce group and have nominated links into Building Right Support programme (for identifying staff with skills and training to work with people who have complex care and support needs) and other joint regional/ national workforce initiatives
Continue to review contingency arrangements to help manage staffing shortages, within social care provision, through the winter, with the aim of reducing the need for staff movement	 This work is overseen within the Growing and Maintaining the Workforce group and linked into the Joined-Up Careers Derbyshire arrangements. Workforce issues are escalated through to a weekly Covid management meeting.
Provide clear communication to social care providers regarding the importance of implementing workforce measures to limit COVID-19 infection, signpost relevant guidance, and encourage providers to make use of additional funding where appropriate	 Weekly meetings with health and social care system leads to promote a shared and strategic view of the Derbyshire and Derby City social care sector and ensure support and guidance is targeted so the sector responds robustly to the pandemic to protect service users and staff in all social care settings. This includes distribution of regular updates of national guidance and the offer of daily support and training for services struggling with implementing new and updated guidance. There is a dedicated microsite for providers with all relevant up to date guidance on managing

Actions Required by Local Authorities	What is happening in Derbyshire
	impact of Covid, this includes how to support their workforce to follow IPC measures.
Actively monitor Capacity Tracker data to identify and act on emerging concerns regarding staff movement between care settings, including following up with care providers who are not limiting staff movement	 Capacity Tracker is regularly monitored via the Contracts Team. Providers that are outliers are contacted and asked to reconsider responses and where applicable offered support and guidance. This has included supporting providers with using their infection control grant funding to assist with workforce constraints.
Personal protective equipment (PPE)	
Provide Personal Protective Equipment (PPE) for COVID- 19 needs (as recommended by COVID-19 PPE guidance) when required, either through the Local Resilience Forum (if in an area where they are continuing PPE distribution), or directly to providers (if in an area where the LRF has ceased distribution) Report shortages to the LRF or to Department of Health and Social Care	 We have ensured the provision of all required PPE to our in-house services since the end of February. We have also been providing emergency supplies of PPE to Private, Voluntary and Independent (PVI) sector providers, Direct Payment users, informal carers, social workers etc via our own PPE Hub The LRF has stood down in terms of PPE provision now the national PPE Portal has been established. DCC is now working directly with DHSC to continue to receive PPE supplies directly.
Help employers of personal assistants, Shared Lives carers, and carers in supported living or extra care housing to access Personal Protective Equipment	 We are continuing with our PPE Hub arrangements and have also agreed with Department of Health and Social Care to pick up responsibility for provision of all Covid related PPE to all those who are not eligible to register with the national PPE Portal. This includes informal carers, Direct Payment users, social work staff etc.

Actions Required by Local Authorities	What is happening in Derbyshire
COVID-19 testing	
Ensure positive cases are identified promptly, make sure care providers, as far as possible, carry out testing as per the testing strategy and, together with NHS organisations, provide local support for testing in adult social care, if needed	 Monitored via the Testing Cell and through Care Homes Cell. Regular situation reports completed and liaison with infection prevention control team and Public Health
Actively monitor their local testing data to identify and act on emerging concerns, including following up with care homes that are not undertaking regular testing, as per the guidance	 Weekly Situation Reporting provided by Derbyshire Public Health; also monitored via the Capacity Tracker; internal communication to identify emerging concerns and to respond.
COVID-19 testing	
Support communications campaigns encouraging eligible staff and people who receive care to receive a free flu vaccine	 Flu plan to support internal employee flu programme and external population level promotion. Details on accessing free flu jabs provided on Derbyshire County Council website and via microsite for care providers.
Direct providers to local vaccination venues	 Joint letter to providers from Derbyshire County Council and NHS Derby and Derbyshire Clinical Commissioning Group (CCG) to all care providers including direct payment clients outlining flu vaccination services.
Work with local NHS partners to facilitate and encourage the delivery of flu vaccines to social care staff and residents in care homes	 We are an active participant in the Joined-Up Care Derbyshire flu cell. Series of communications in place and joint letter to providers from the Council and NHS Derby and Derbyshire CCG to all care providers including direct payment clients.

Theme 2: Collaboration across health and care services

Actions Required by Local Authorities	What is happening in Derbyshire
Safe discharge from NHS settings and preventing avoid	able admissions
Jointly commission care packages for those discharged (including commissioning of care home beds). The local authority should be the lead commissioner unless otherwise agreed between the CCG and the local authority	 Local authority lead commissioning for residential care and bedded discharges. Agreed arrangements in place for Reablement / Short Term Service and designated bed provision for people who are Covid positive. CCG lead commissioning for designated nursing care beds for discharges of people who are Covid positive.
Establish an Executive Lead for the leadership and delivery of the discharge to assess model;	Executive lead established for Derbyshire County Council and Health lead being confirmed with system partners
Establish efficient processes to manage CHC assessments in line with the guidance on the reintroduction of NHS continuing healthcare (as well as the discharge guidance), which includes extending the use of the Trusted Assessor Model and digital assessments Secure enough staff to rapidly complete deferred assessments, drawing on discharge funding but without negatively impacting on care home support	 Joint planning in place Continue to develop joint system plans and agreements and monitor and track progress alongside CCG colleagues
Work with partners to coordinate activity, with local and national voluntary sector organisations, to provide services and support to people requiring support around discharge from hospital and subsequent recovery	 Clear and agreed arrangements in place for pathways 1, 2 and 3 discharge from hospital. Joint understanding of current demand and capacity requirements. We will continue to: monitor and track current discharge capacity to meet growing demand; develop improved efficiency and effectiveness of current arrangements through service redesign and transformation as part of

Actions Required by Local Authorities	What is happening in Derbyshire
	the Better Lives - Short Term Services Redesign and Long-Term Transfer workstreams.
Are required to provide appropriate accommodation for people who have been discharged from hospital, if their care home cannot provide appropriate isolation or cohorting facilities, as set out in the Adult Social Care Action Plan. Every local authority should work with their respective CCG to ensure that they have safe accommodation for people who have been discharged from hospital with a positive or inconclusive COVID-19 test result. Discharge funding has been made available via the NHS to cover the costs of providing alternative accommodation	 Local authority lead commissioning for residential care bedded discharges. Agreed arrangements in place for Reablement / Short Term Service and designated bed provision for people who are Covid positive. CCG lead commissioning for designated nursing care beds for discharges of people who are Covid positive
Should consider adopting the cohorting and zoning recommendations published by ADASS, working with providers. This should include ensuring early partnership discussions with providers, about the safety and feasibility of implementing these arrangements within their care homes.	• Formal designated residential beds for hospital discharge are being provided using a council operated care home and independent sector providers will continue to be supported to have appropriate self-isolation arrangements for residents
Social prescribing	
Work closely with Social Prescribing Link Workers (SPLWs) to co-ordinate support for people identified by health and care professionals as most needing it, especially those impacted by health inequalities and autistic people and people with learning disabilities Ensure SPLWs have the support and equipment to work remotely and access GP IT systems	 Clinical Leads attached to all Care Homes in Derbyshire through Primary Care Network. All Care Homes have access to appropriate technology (iPads) for PCN communication. All Care Homes have access/support to use oximeters / oxygen monitoring. SPLWs employed through PCNs in Derbyshire and have access to NHS health records and continuing to work remotely throughout the pandemic

Theme 3: Supporting people who receive social care, the workforce, and carers

Actions Required by Local Authorities	What is happening in Derbyshire
Supporting independence and quality of life	
Give a regular assessment of whether visiting care homes is likely to be appropriate, within their local authority, or within local wards, considering the wider risk environment	 Reviewed as part of COVID health protection board and daily operational meetings. Communication from Director of Public Health shared with providers as required. Current arrangements in line with national lockdown guidance.
If necessary, impose visiting restrictions if local incidence rates are rising, and immediately if an area is listed as 'an area of intervention'	 Reviewed as part of COVID health protection board and daily operational meetings. Communication from Director of Public Health shared with providers as required. Current arrangements in line with national lockdown guidance.
Direct payments	
Consult the new guidance for the actions that they should undertake to ensure that people receiving direct payments, their families and carers are able to meet their care and support needs this winter Give people with direct payments the level of flexibility and control as envisaged in the Care Act and NHS Direct Payment regulations and accompanying guidance, allowing them to stay well, and get the care and support they need	 Contingency plans are in place. Direct payment Guidance continues to be followed and flexible approaches to individual circumstances responded to where appropriate

Actions Required by Local Authorities	What is happening in Derbyshire
Support for unpaid carers	
Make sure carers, and those who organise their own care, know what support is available to them and who to contact if they need help	 Support for unpaid carers commissioned from Derbyshire Carers Association (DCA) We are working closely with DCA, to refocus the carers support service through the recovery and restoration phase, to ensure it is meeting the changing needs of Carers in Derbyshire
Follow the direct payments guidance and be flexible to maximise independence	 Direct Payment guidance continues to be followed and flexible approaches to individual circumstances responded to where appropriate
Ensure that assessments are updated to reflect any additional needs created by COVID-19 of both carers and those in need of social care	 All Direct Payment recipients have been contacted and circumstances reviewed where required as a result of ongoing impacts of Covid
Work with services that may have closed, over the pandemic, to consider how they can reopen safely or be reconfigured to work in a COVID-19 secure way and consider using the Infection Control Fund to put in place infection prevention and control measures to support the resumption of services	 Work is ongoing to look at opportunities to reopen affected services where possible. Specific focus on the re-opening day centres for older adults and day services for people with a learning disability.
Where people who use social care services can no longer access the day care or respite services that they used before the pandemic, work with them to identify alternative arrangements that meet their identified needs	 Individual risk assessments have been completed with individuals directly affected by temporary service closures and alternative opportunities have been sourced where possible
End-of-life care	
Ensure that discussions and decisions on advanced care planning, including end of life, should take place between the individual (and those people who are important to them where appropriate) and the multi-professional care	 We continue to do this as part of our assessment and care planning process, including use of advocates, Mental Capacity Act checklist, contingency planning and advanced decision making.

Actions Required by Local Authorities	What is happening in Derbyshire
team supporting them. Where a person lacks the capacity to make treatment decisions, a care plan should be developed following where applicable the best interest checklist under the Mental Capacity Act	
Implement relevant guidance and circulate, promote and summarise guidance to the relevant providers. This should draw on the wide range of resources that have been made available to the social care sector by key health and care system partners and organisations including those on the NHS website and those published by the Royal Colleges of GPs	 We have continued to operate our Safeguarding Board. Guidance has been circulated, promoted and reinforced to internal providers through our Quality and Compliance team and with external providers through our Contracts and Compliance team.
Supporting the workforce – staff training	
Ensure providers are aware of the free induction training offer and encourage them to make use of it	 This has been provided by the Contracts and Compliance Team/ Provider section of DCC website (microsite)
Promote and summarise relevant guidance to care providers	 This has been provided by the Contracts and Compliance Team/ Provider section of DCC website (microsite)
Supporting the wellbeing of the workforce	
Maintain, where possible, the additional staff support services which they put in place during the first wave of the pandemic	 Wellbeing support for the workforce: internal to DCC via Health & S /safety team and Human Resources. PVI - promoted to care providers.
Review current occupational health provision with providers in their area and highlight good practice	The Council has an in-house Occupational Health team
Promote wellbeing offers to their staff and allow staff time to access support, as well as promoting to providers in their area	 Wellbeing support for the workforce: internal to DCC via Health & Safety team and Human Resources. PVI - promoted to care providers.

Actions Required by Local Authorities	What is happening in Derbyshire
Workforce capacity	
Continue to review contingency arrangements to help manage staffing shortages within social care provision through the winter	 Growing and Maintaining the Workforce - led by Human Resources (HR) for Direct Care. Further work taking place with Derbyshire Community Health Service for PVI
Consult the guidance available on deploying staff and managing their movement, and support providers in their area to access other initiatives – for example Bringing Back Staff	 Growing and Maintaining the Workforce - led by HR; links in place with Bringing Back Staff and other joint regional/ national workforce initiatives
Consider how voluntary groups can support provision and link-up care providers with the voluntary sector where necessary	 Joint work with Voluntary and Community Sector taking place as part of the current and ongoing review. This work is linked in via Place and the Community Response Unit
Support providers, in their area, to complete the capacity tracker and update their adult social care workforce data set (ASCWDS) records to help ensure effective local capacity monitoring and planning	 This work is being overseen by the Contracts & Compliance Team
Shielding and people who are clinically extremely vulne	rable
Local authorities will coordinate local support if shielding is reintroduced in a local area. This includes provision of enhanced care and support for CEV people on the shielded persons list	 Community Support Unit is in place and a new Food & PPE Hub is being set up
Social work and other professional leadership	
Ensure that their social work teams are applying legislative and strengths-based frameworks (including those based on duties under the Care Act and Mental Capacity Act) and support partner organisations such as the NHS to do the same	 Introduced specific guidance for Adult Mental Health Professionals in line with Ethical Framework

Actions Required by Local Authorities	What is happening in Derbyshire
Ensure social work practice is fully cognisant of and acts on the issues of inequality and deprivation and the impact this has on communities and people's access to health and social care services	 This continues to be part of our ongoing assessment and care management practice
Understand and address health inequalities across the sector and develop actions with partners, where required, taking into account the implications of higher prevalence of COVID-19 in Black, Asian and minority ethnic communities and inequalities experienced by people with learning disabilities, autistic adults, and people with mental health difficulties	 This continues to be part of our ongoing assessment and care management practice and specific attention has been given to the impacts on specific groups in relation to the impact of Covid
Review their current quality assurance frameworks and governance oversight arrangements to ensure that winter and COVID-19 pressures do not reduce the ability to deliver high-quality social work practice	 This has been addressed through the introduction of new Quality Assurance Framework within ASC and is monitored through the Quality Improvement Board
Develop and maintain links with professionals across the health and care system to ensure joined-up services	 Links with system partners and colleagues within key stakeholder organisations are maintained as part of the Joined-Up Care Derbyshire system arrangements
Lead local application of the Ethical Framework for Adult Social Care, ensuring that NHS partners fully understand their responsibilities to apply the ethical principles and values as part of discharge to assess delivery	 This is addressed as part of our ongoing joint working, planning and commissioning arrangements.
Ensure that the application of new models and pathways are offering the best possible outcome for individuals, their families and loved ones, advocating for them and advising commissioners where these pathways cause a conflict	 This work is being led through our Better Lives Transformation Programme
Review any systemic safeguarding concerns that have arisen during the pandemic period and ensure actions are	This work is overseen through our safeguarding board and quality and compliance arrangements.

Actions Required by Local Authorities	What is happening in Derbyshire
in place to respond to them, enabling reediness for any	
increased pressures over the winter period	
Support and lead social workers and safeguarding teams	This continues to be part of our ongoing social work and
to apply statutory safeguarding guidance with a focus on	safeguarding team arrangements.
person-led and outcome focused practice	

Theme 4: Supporting the system

Actions Required by Local Authorities	What is happening in Derbyshire
Funding	
Provide DHSC with information about how the money	Return sent to DHSC on 30th September 2020
Infection Control Fund has been spent by 30 September	
2020	
Continue to maintain the information they have published	 DCC website updated regularly with specific Covid
on their websites about the financial support they have	information pages for staff, public and care providers.
offered to their local adult social care market	
Provide regular returns to DHSC on the spending of the	 Monthly returns will be submitted to DHSC in line with
extended Infection Control Fund in line with the grant	the grant conditions
conditions	
Market and provider sustainability	
Work with local partners to engage with the Service	Development of an updated Market Position Statement
Continuity and Care Market Review, and – when	is in place. The Contract Team continue to monitor the
requested – complete a self-assessment of the health of	market
local market management and contingency planning	
leading into winter	
Continue to work understand their local care market; and	 The Contract Team continue to monitor the market
to support and develop the market accordingly	

Actions Required by Local Authorities	What is happening in Derbyshire
	 There is ongoing contact with care providers and monitoring of the Capacity Tracker Information is to be used in refreshing the current Market Position Statement
Continue to support their provider market as needed, to secure continuity of care, including promoting the financial support available	 The Contract Team continue to monitor the market and will maintain ongoing contact with care providers and monitoring of the Capacity Tracker. All care providers have been encouraged and supported to take up the Infection Control Grant
CQC support: Emergency Support Framework and shar	ing best practice
Work with the CQC to promote and inform providers about monitoring processes	 Private, Voluntary and Independent sector DCC contracts Team is in regular contact with care providers and informs them about monitoring processes. Senior Managers within Derbyshire County Council's Direct Care services have monthly meetings with CQC to discuss updates to guidance and local issues.
Local, regional and national oversight and support	
Write to DHSC by 31 October confirming they have put in place a winter plan and that they are working with care providers in their area on their business continuity plans, highlighting any key issues if needed, in order to receive the second instalment of the Infection Control Fund. These plans should consider the recommendations of this Winter Plan, and involve NHS and voluntary and community sector organisations where possible	 Winter Plan finalised and confirmation sent by 31st October. Planning underway to work with providers on business continuity planning and for the second tranche of infection control funding
Continue current oversight processes, including delivery of Care Home Support Plans and engagement with regional feedback loops	There is ongoing contact with care providers and monitoring of the Capacity Tracker. Attendance at

Actions Required by Local Authorities	What is happening in Derbyshire
	regional meetings and information provided, as requested
Continue to champion the Capacity Tracker and the CQC community care survey and promote their importance as a source of data to local providers and commissioners	• There is ongoing contact with care providers (DCC Direct Care and PVI) and monitoring of the Capacity Tracker. Continued encouragement to complete the Tracker.
Establish a weekly joint communication from local directors of adult social services and directors of public health to go to all local providers of adult social care, as a matter of course, through the winter months	 There is ongoing contact with care providers (DCC Direct Care and PVI): using the DCC website and the Provider web page. Director of Public Health correspondence with care home providers

Specific Provider Actions

As a provider and commissioner / contractor of care and support services the following section provides an overview of the national actions required by providers and the work being undertaken in Derbyshire.

Actions required by providers	Derbyshire County Council Direct Care	Derbyshire County Council Contracting
Providers must keep the needs and safety of the people they support and their staff at the forefront of all activities	Close monitoring of CQC Emergency Support Framework and action taken following a visit where required.	Regular communication with all PVI Providers on Covid-19 requirements, including infection control, use of PPE and following Government guidance. DCC Direct Care provision follows all Government guidance.
Providers should review and update their business continuity plans for the autumn and winter, of which	Fast track recruitment process set up to recruit to the relief workers - continued interviewing and placing.	All PVI Providers are required to keep their business continuity plans up to date, including workforce and this is

Actions required by providers	Derbyshire County Council Direct Care	Derbyshire County Council Contracting
workforce resilience should be a key component	All Vacancies currently being recruited to and monitored centrally.	tracked via the Capacity Tracker. DCC inhouse has implemented an internal Growing & Maintaining the Workforce group for Direct Care/ P&P/ OT provision etc. The LRF Maintaining Frontline Services Group has been re-started to receive details of workforce needs across the whole LRF system.
Providers should continue to ensure that all relevant guidance is implemented and followed, using the new guidance portal for providers, Overview of adult social care guidance on coronavirus.	Quality and Compliance Team to continue to monitor and review all updates made to current guidance and ensure changes communicated to services.	DCC maintains an up to date Covid- 19 webpages for external and internal use; and provides links to Govt. guidance.
Providers should utilise additional funding available to implement infection prevention and control measures, in accordance with the conditions of the Infection Control Fund and those given by local authorities, and should provide all information requested on use of the funding to local authorities	This has been completed in line with requirements	PVI and direct Care Providers are encouraged to apply for the Infection control Grant (Phases One and Two); and to provide the required monitoring information.
Providers must provide data through the Capacity Tracker or other relevant data collection or escalation routes in	Quality and Compliance Team to continue to monitor data entered on to capacity tracker and escalate any	The Capacity Tracker is monitored, and regular contact maintained with

Actions required by providers	Derbyshire County Council Direct Care	Derbyshire County Council Contracting
line with government guidance and the conditions of the Infection Control Fund	issues to the Operational Senior Management meeting	care providers to encourage them to complete the Capacity Tracker.
Providers should ensure that both symptomatic staff and symptomatic recipients of care are able to access COVID-19 testing, as soon as possible. Care homes should adhere to guidance on regular testing for all staff and care home residents	Quality and Compliance Team to continue to monitor data entered on to capacity tracker and escalate any issues to the Operational Senior Management meeting	There is regular contact with PVI and DCC directly provided care homes to ensure the Testing is followed, and procedures are adhered to. There is regular reporting of infections and outbreaks. There is a Test & Trace Cell that coordinates the response to infections/ outbreaks.
All eligible care providers can register for and use the new PPE portal. All providers should report any PPE shortages through the Capacity Tracker, LRFs where applicable, or any other relevant escalation or data collection route	Process to remain the same for DCC homes and domiciliary providers. These communications have gone out.	All care providers have been encouraged to register on the new PPE Portal; DCC continues to provide PPE in emergency situations where providers are unable to source PPE. PPE shortages are reported.
Providers ineligible to register for the portal (such as personal assistants) should contact their LRF (if it is continuing to distribute PPE) or their local authority to obtain free PPE for COVID-19 needs	PPE Strategic Group coordinating this activity.	DCC is providing PPE to those providers/ services that are ineligible to register on the PPE Portal.
Providers should proactively encourage and enable people who receive care and social care staff to	Flu vaccine clinics in each residential care home and 100 Pharmacies to	This is covered in the comprehensive Flu Plan

Actions required by providers	Derbyshire County Council Direct Care	Derbyshire County Council Contracting
receive free flu vaccinations and	support those staff not able to attend	
report uptake	a clinic.	
	All registered providers have flu pack	